

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Roderick L. Roma, et al.

Title: ARCHITECTURE FOR CONTEXT BASED  
ADAPTABLE BEHAVIOR

Appl. No.: Unknown

Filing Date: December 20, 2001

Examiner: Unknown

Art Unit: Unknown

**UTILITY PATENT APPLICATION**  
**TRANSMITTAL**

Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

Roderick L. Roma

Deepak Bhatnager

Daniel Dong Min Shin

Enclosed are:

- [ X ] Specification, Claim(s), and Abstract (29 pages).
- [ X ] Formal drawings (5 sheets, Figures 1-5).
- [ X ] Declaration and Power of Attorney (4 pages).
- [ X ] Assignment of the invention to G.E. INFORMATION SERVICES, INC.  
(3 pages)
- [ X ] Assignment Recordation Cover Sheet.
- [ X ] Information Disclosure Statement (2 pages).
- [ X ] Form PTO-1449 with copies of 5 listed reference(s).
- [ X ] Application Data Sheet (5 pages)(37 CFR 1.76).

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$740.00	\$740.00
Total Claims:	45	- 20	= 25	x \$18.00	= \$450.00
Independents:	6	- 3	= 3	x \$84.00	= \$252.00
If any Multiple Dependent Claim(s) present:			+	\$280.00	= \$0.00
				SUBTOTAL:	= \$1442.00
[ ] Small Entity Fees Apply (subtract 1/2 of above):					= \$0.00
				TOTAL FILING FEE:	= \$1,442.00
Assignment Recordation Fee:			+	\$40.00	= \$40.00
				TOTAL FEE	= \$1,482.00

- [ X ] A check in the amount of \$1,482.00 to cover the filing fee and fee for recordation of Assignment is enclosed.
- [ ] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- [ X ] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date December 20, 2001

By 

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